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Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/807,041 TRANSMITTAL Filing Date March 23, 2004 **FORM** First Named Inventor Joseph K. Garretson Art Unit (to be used for all correspondence after initial filing) Examiner Name Attorney Docket Number Total Number of Pages in This Submission 12.584 **ENCLOSURES** (Check all that apply) After Allowance communication x Drawing(s) Fee Transmittal Form to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group x Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Provisional Application** Proprietary Information Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Individual name William W. Haefliger Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name

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Date

4-26-04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<u>In Art Unit</u> <u>Examiner:</u>

Applicant: Joseph K. Garretson

Serial No: 10/807,041

Filed: March 23, 2004

For: LOUDSPEAKER SOUND MODULATION

APPLIANCE

Pasadena, California

April 26, 2004

PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Prior to first Action, kindly amend the case as follows:

Amendments to the Claims are reflected in the listing of claims, which begins on page 2.